EXECUTIVE SUMMARY - ENFORCEMENT MATTER

DOCKET NO.: 2007-0042-EAQ-E **TCEQ ID:** RN104953203 **CASE NO.:** 32215

RESPONDENT NAME: The Park at Hardy Oak Homeowners Association, Inc.

ORDER TYPE:		
X 1660 AGREED ORDER	FINDINGS AGREED ORDER	FINDINGS ORDER FOLLOWING SOAH HEARING
FINDINGS DEFAULT ORDER	_SHUTDOWN ORDER	IMMINENT AND SUBSTANTIAL ENDANGERMENT ORDER
AMENDED ORDER	EMERGENCY ORDER	
CASE TYPE:		
AIR	MULTI-MEDIA (check all that apply)	INDUSTRIAL AND HAZARDOUS WASTE
PUBLIC WATER SÚPPLY	PETROLEUM STORAGE TANKS	OCCUPATIONAL CERTIFICATION
X_WATER QUALITY	SEWAGE SLUDGE	UNDERGROUND INJECTION CONTROL
MUNICIPAL SOLID WASTE	RADIOACTIVE WASTE	DRY CLEANER REGISTRATION
facility location. INTERESTED PARTIES: No one other tha	omplaints were received. There is no record of add in the ED and the Respondent has expressed an intelester comment period expired on May 14, 2007. No	erest in this matter.
CONTACTS AND MAILING LIST: TCEQ Attorney/SEP Coordinator TCEQ Enforcement Coordinator: Lopez, Enforcement Division, MC Respondent: Mr. Jerrod S. Kinnett Texas 78258	r: None : Ms. Libby Hogue, Enforcement Division, Enforce 219, (512) 239-1896 , President, The Park at Hardy Oak Homeowners Ase e Park at Hardy Oak Homeowners Association, Inc.	ment Team 3, MC 149, (512) 239-1165; Mr. Steven
	some of country on this entercontent manner	

DOCKET NO.: 2007-0042-EAQ-E

VIOLATION SUMMARY CHART: CORRECTIVE ACTIONS VIOLATION INFORMATION PENALTY CONSIDERATIONS TAKEN/REQUIRED Type of Investigation: Total Assessed: \$2,625 **Corrective Actions Taken:** ___ Complaint ___ Routine 1) The Executive Director recognizes that on Total Deferred: \$525 Enforcement Follow-up February 20, 2007, Hardy Oak HOA submitted X Expedited Settlement X Records Review a written basin certification, generated by a Texas licensed professional engineer that Financial Inability to Pay Date of Complaint Relating to this Case: certifies the permanent best management None SEP Conditional Offset: \$0 practices and measures were constructed as designed. Date of Investigation Relating to this Case: Total Paid to General Revenue: \$2,100 November 29, 2006 Site Compliance History Classification Date of NOE Relating to this Case: January 4, High X Average Poor 2007 (NOE) **Person Compliance History Classification** Background Facts: This was a routine record High X Average Poor review. One violation was documented. Major Source: X Yes No WATER Applicable Penalty Policy: September 2002 Failed to provide the required basin certification performed by a Texas licensed professional engineer certifying in writing that the permanent best management practices and measures were constructed as designed [30 Tex. ADMIN CODE §213.5(b)(4)(D)(ii)(II)].

Penalty Calculation Worksheet (PCW) PCW Revision November 15, 2006 Policy Revision 2 (September 2002) 8-Jan-2007 Assigned PCW 12-Jan-2007 Screening 12-Jan-2007 EPA Due RESPONDENT/FACILITY INFORMATION Respondent The Park at Hardy Oak Homeowners Association, Inc. Reg. Ent. Ref. No. RN104953203 Facility/Site Region 13-San Antonio Major/Minor Source Major CASE INFORMATION Enf./Case ID No. 32215 No. of Violations 1 Docket No. 2007-0042-EAQ-E Order Type 1660 Media Program(s) Edwards Aquifer Enf. Coordinator Libby Hogue EC's Team EnforcementTeam 4 Multi-Media \$10,000 Admin. Penalty \$ Limit Minimum Maximum Penalty Calculation Section \$2,500 **TOTAL BASE PENALTY (Sum of violation base penalties)** Subtotal 1 ADJUSTMENTS (+/-) TO SUBTOTAL 1 Subtotals 2-7 are obtained by multiplying the Total Base Penalty (Subtotal 1) by the indicated percentage \$125 **Compliance History** 5% Enhancement Subtotals 2, 3, & 7 A 5% enhancement is recommended for having one same or similar Notes NOV within the past five years. \$0 Subtotal 4 Culpability No 0% Enhancement Respondent does not meet the culpability criteria. Notes Subtotal 5 \$0 Good Faith Effort to Comply 0% Reduction Before NOV NOV to EDPRP/Settlement Offer Extraordinan Ordinary (mark with x) N/A Х The Respondent does not meet the good faith criteria. Notes Subtotal 6 \$0 0% Enhancement* *Capped at the Total EB \$ Amount Total EB Amounts \$51 Approx. Cost of Compliance \$1,000 \$2,625 **SUM OF SUBTOTALS 1-7** Final Subtotal \$0 Adjustment OTHER FACTORS AS JUSTICE MAY REQUIRE Reduces or enhances the Final Subtotal by the indicated percentage. (Enter number only; e.g. -30 for -30%) Notes \$2,625 Final Penalty Amount Final Assessed Penalty \$2,625 STATUTORY LIMIT ADJUSTMENT -\$525 Reduction Adjustment Reduces the Final Assessed Penalty by the indicted percentage. (Enter number only; e.g. 20 for 20% reduction.)

Deferral offered for expedited settlement.

\$2,100

Notes

PAYABLE PENALTY

Screening Date 12-Jan-2007 Docket No. 2007-0042-EAQ-E Respondent The Park at Hardy Oak Homeowners Association, Inc. Case ID No. 32215

Policy Revision 2 (September 2002) PCW Revision November 15, 2006

PCW

Reg. Ent. Reference No. RN104953203

	Compliance History Worksheet			
	/ S/te Enhancement (Subtotal 2) Number of	Enter Number Here	Adjust.	
NOVs	Written NOVs with same or similar violations as those in the current enforcement action (number of NOVs meeting criteria)	1	5%	
	Other written NOVs	0	0%	
	Any agreed final enforcement orders containing a denial of liability (number of orders meeting criteria)	0	0%	
Orders	Any adjudicated final enforcement orders, agreed final enforcement orders without a denial of liability, or default orders of this state or the federal government, or any final prohibitory emergency orders issued by the commission		•: 0%:	
. Judgments	Any non-adjudicated final court judgments or consent decrees containing a denial of liability of this state or the federal government (number of judgements or consent decrees meeting criteria)	0	0%	 ∡‡.
and Consent Decrees	Any adjudicated final court judgments and default judgments, or non-adjudicated final court judgments or consent decrees without a denial of liability, of this state or the federal government		0%	t . i.
	Any criminal convictions of this state or the federal government (number of counts)	0	0%	
Emissions	Chronic excessive emissions events (number of events)	0	0%	
Audits	Letters notifying the executive director of an intended audit conducted under the Texas Environmental, Health, and Safety Audit Privilege Act, 74th Legislature, 1995 (number of audits for which notices were submitted)	0	0%	
	Disclosures of violations under the Texas Environmental, Health, and Safety Audit Privilege Act, 74th Legislature, 1995 (number of audits for which violations were disclosed)	Ö ^N	0%	
	Plea.	se Enter Yes or No		
	Environmental management systems in place for one year or more	No	0%	
Other	Voluntary on-site compliance assessments conducted by the executive director under a special assistance program	No	0%	
	Participation in a voluntary pollution reduction program	No	0%	
	Early compliance with, or offer of a product that meets future state or federal government environmental requirements	Nö	0%	
	Adjustment P	ercentage (Su	btotal 2)	Ę
peat Violator (Su	ibtotal 3)			
No	Adjustment P	ercentage (Su	btotal 3) [C
mpliance History	/ Person Classification (Subtotal 7)		!	
Average P	erformer Adjustment P	ercentage (Su	btotal 7) [C
mpliance History	/ Summary			

Total Adjustment Percentage (Subtotals 2, 3, & 7) 5%

Screening Date	12-Jan-2007 D o	ocket No. 2007-0042-EAQ-E	PCW
	The Park at Hardy Oak Homeowners Asso	ociation, Inc.	Policy Revision 2 (September 2002)
Case ID No.			PCW Revision November 15, 2006
Reg. Ent. Reference No.			onerestes
Media [Statute]	•		**************************************
Enf. Coordinator			Anna anna anna anna anna anna anna anna
Violation Number	1		
Rule Cite(s	30 Tex. Admin. Cod	e § 213.5(b)(4)(D)(ii)(II)	
Violation Description	function as designed. Specifically, dur	(BMP) and measures were construct	ed and
		Base	Penalty \$10,000
>> Environmental, Property	Harm		
Release OR Actua Potentia		Percent 0%	
>>Programmatic Matrix			-
Falsification	Major Moderate Minor X Moderate Minor	Percent 25%	
Matrix Notes	100% of the requiremen	it was not met.	
		Adjustment	\$7,500
		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
00000000000000000000000000000000000000			\$2,500
Violation Events			
Number of V	olation Events 1	Number of violation day	S
mark only one with an x	daily monthly quarterly semiannual annual single event x	Violation Base	Penalty \$2,500
	One single event is re	commended.	
Economic Benefit (EB) for t	nis violation	Statutory Limit Tes	st ender the second
·	ed EB Amount \$51] Violation Final Pena	alty Total \$2,625
	This violation	Final Assessed Penalty (adjusted f	or limits) \$2,625
		,	

	E	conomic	Benefit W	orks	heet		
		dy Oak Homeowr	ners Association, Ir	nc.		, the second	. 1
Case ID No.					v.	A Company of the Company	
Reg. Ent. Reference No.							and the state of t
Media Violation No.	Edwards Aquife	r				Percent Interest	Years of Depreciation
Violation No.	I					5.0	
	Item Cost	Date Required	Final Date	Yrs	Interest Saved	Onetime Costs	15 EB Amount
Item Description		Date Required	i iliai Date	113	iiiterest Saveu	Offerine Gosta	LD Amount
item bescription	NO COMMAS OF 5					V	
Delayed Costs			11.2				
Equipment				0,0	\$O	\$0	\$0
Buildings				0.0	\$0	\$0	\$0
Other (as needed)				0,0	\$0	\$0	\$0
Engineering/construction Land				0.0	\$0 \$0	\$0 n/a	\$0 \$0
Record Keeping System				0.0	\$0 \$0	n/a	\$0 \$0
Training/Sampling				0.0	\$O	n/a	\$0
Remediation/Disposal				0.0	\$0	n/a	\$0
Permit Costs				0.0	\$0	n/a	\$0
Other (as needed)	\$1,000	12-Feb-2006	20-Feb-2007	1.0	\$ 51	n/a	\$51
Market and the second	Estimated (easts for consultin	a with and obtainin	na a haeln	certification from	n a Texas licensed p	professional
Notes for DELAYED costs						or submittal to the T	
Notes to DELATED Costs	originoon, mo		is the date the res				OEG, INCINI
Avoided Costs	ANN	JALIZE [1] avoid	ed costs before e			one-time avoided o	
Disposal				0.0	\$0	\$0	\$0
Personnel Inspection/Reporting/Sampling				0.0	\$0 \$0	\$0 \$0	\$0 \$0
inspection/Reporting/Sampling Supplies/equipment				0.0	\$0 \$0	\$0 \$0	\$0 \$0
Financial Assurance [2]				0.0	\$0 \$0	\$0	\$0
ONE-TIME avoided costs [3]				0.0	\$0	\$0	\$0
				0,0	\$0	\$0	\$0
Notes to AVOIDED							
Notes for AVOIDED costs							
Approx. Cost of Compliance		\$1,000			TOTAL		\$51
				***	:		

Miller Franklich

Compliance History Classification: AVERAGE Rating: 7.00 Customer/Respondent/Owner-Operator: CN603033978 The Park at Hardy Oak Homeowners Association, Inc. BLK 34 LOT P100 ROW OF EAGLE Classification: AVERAGE Site Rating: 7.00 Regulated Entity: RN104953203 VAIL ST REGISTRATION 13-00102303 **EDWARDS AQUIFER** ID Number(s): Rating Date: 9/1/2006 Repeat Violator: NO BASIN AT NE CORNER OF EAGLE VAIL AND HARDY Location: OAK BLVD TCEQ Region: **REGION 13 - SAN ANTONIO** Date Compliance History Prepared: January 09, 2007 Agency Decision Requiring Compliance Enforcement January 08, 2002 to January 08, 2007 Compliance Period: TCEQ Staff Member to Contact for Additional Information Regarding this Compliance History 512-239-1165 Name: Libby Hoque **Site Compliance History Components** 1. Has the site been in existence and/or operation for the full five year compliance period? Yes 2. Has there been a (known) change in ownership of the site during the compliance period? Yes N/A 3. If Yes, who is the current owner? 4. if Yes, who was/were the prior owner(s)? Gordon V. Hartman Enterprises, Inc. December 4, 2003 5. When did the change(s) in ownership occur? Components (Multimedia) for the Site: Final Enforcement Orders, court judgements, and consent decrees of the state of Texas and the federal government. Any criminal convictions of the state of Texas and the federal government. В. Chronic excessive emissions events. C.

N/A

D. The approval dates of investigations. (CCEDS Inv. Track. No.)

1 01/04/2007 (532492)

N/A

E. Written notices of violations (NOV). (CCEDS Inv. Track. No.)

Date: 05/25/2006

(464539)

Self Report? NO

Citation:

30 TAC Chapter 213, SubChapter A 213.5(f)(1)(A)(i)

Description:

Failure to provide the required notification of construction.

Self Report? NO

Classification: Moderate

Citation:

30 TAC Chapter 213, SubChapter A 213.5(b)(4)(D)(ii)(II)

Description:

Failure to provide the required basin certification performed by a Texas licensed

professional engineer.

Self Report? NO

Classification: Moderate

Classification: Minor

Citation:

30 TAC Chapter 213, SubChapter A 213.4(k)

Description:

Failure to maintain water quality basin.

F. Environmental audits.

N/A

G. Type of environmental management systems (EMSs).

N/A

H. Voluntary on-site compliance assessment dates.

N/A

I. Participation in a voluntary pollution reduction program.

N/A

J. Early compliance.

N/A

Sites Outside of Texas

N/A

TEXAS COMMISSION ON ENVIRONMENTAL QUALITY



IN THE MATTER OF AN	§	
ENFORCEMENT ACTION	§	BEFORE THE
CONCERNING	§	
THE PARK AT HARDY OAK	§	TEXAS COMMISSION ON
HOMEOWNERS ASSOCIATION, INC.	§	
RN104953203	§	ENVIRONMENTAL QUALITY

AGREED ORDER DOCKET NO. 2007-0042-EAQ-E

I. JURISDICTION AND STIPULATIONS

At its ______ agenda, the Texas Commission on Environmental Quality ("the Commission" or "TCEQ") considered this agreement of the parties, resolving an enforcement action regarding The Park at Hardy Oak Homeowners Association, Inc. ("Hardy Oak HOA") under the authority of Tex. Water Code chs. 7 and 26. The Executive Director of the TCEQ, through the Enforcement Division, and Hardy Oak HOA appear before the Commission and together stipulate that:

- 1. Hardy Oak HOA operates The Park at Hardy Oak residential subdivision located on the northeast corner of Eagle Vail and Hardy Oak Boulevard in Bexar County, San Antonio, Texas (the "Site").
- 2. Hardy Oak HOA has committed any other act or engaged in any other activity which in itself or in conjunction with any other discharge or activity causes, continues to cause, or will cause pollution of any water in the state under Tex. WATER CODE ch. 26 or any rule, permit, or order of the Commission.
- 3. The Commission and Hardy Oak HOA agree that the Commission has jurisdiction to enter this Agreed Order, and that Hardy Oak HOA is subject to the Commission's jurisdiction.
- 4. Hardy Oak HOA received notice of the violations alleged in Section II ("Allegations") on or about January 9, 2007.
- 5. The occurrence of any violation is in dispute and the entry of this Agreed Order shall not constitute an admission by Hardy Oak HOA of any violation alleged in Section II ("Allegations"), nor of any statute or rule.

The Park at Hardy Oak Homeowners Association, Inc. DOCKET NO. 2007-0042-EAQ-E Page 2

- 6. An administrative penalty in the amount of Two Thousand Six Hundred Twenty-Five Dollars (\$2,625) is assessed by the Commission in settlement of the violations alleged in Section II ("Allegations"). Hardy Oak HOA has paid Two Thousand One Hundred Dollars (\$2,100) of the administrative penalty and Five Hundred Twenty-Five Dollars (\$525) is deferred contingent upon Hardy Oak HOA's timely and satisfactory compliance with all the terms of this Agreed Order. The deferred amount will be waived upon full compliance with the terms of this Agreed Order. If Hardy Oak HOA fails to timely and satisfactorily comply with all requirements of this Agreed Order, the Executive Director may require Hardy Oak HOA to pay all or part of the deferred penalty.
- 7. Any notice and procedures, which might otherwise be authorized or required in this action, are waived in the interest of a more timely resolution of the matter.
- 8. The Executive Director of the TCEQ and Hardy Oak HOA have agreed on a settlement of the matters alleged in this enforcement action, subject to the approval of the Commission.
- 9. The Executive Director recognizes that on February 20, 2007, Hardy Oak HOA submitted a written basin certification, generated by a Texas licensed professional engineer that certifies the permanent best management practices and measures were constructed as designed.
- 10. The Executive Director may, without further notice or hearing, refer this matter to the Office of the Attorney General of the State of Texas ("OAG") for further enforcement proceedings if the Executive Director determines that Hardy Oak HOA has not complied with one or more of the terms or conditions in this Agreed Order.
- 11. This Agreed Order shall terminate five years from its effective date or upon compliance with all the terms and conditions set forth in this Agreed Order, whichever is later.
- 12. The provisions of this Agreed Order are deemed severable and, if a court of competent jurisdiction or other appropriate authority deems any provision of this Agreed Order unenforceable, the remaining provisions shall be valid and enforceable.

II. ALLEGATIONS

As operator of the Site, Hardy Oak HOA is alleged to have failed to provide the required basin certification performed by a Texas licensed professional engineer certifying in writing that the permanent best management practices and measures were constructed as designed, in violation of 30 Tex. ADMIN. CODE § 213.5(b)(4)(D)(ii)(II), as documented during a record review conducted on November 29, 2006.

III. DENIALS

Hardy Oak HOA generally denies each allegation in Section II ("Allegations").

The second s The second secon

IV. ORDERING PROVISIONS

1. It is, therefore, ordered by the TCEQ that Hardy Oak HOA pay an administrative penalty as set forth in Section I, Paragraph 6 above. The payment of this administrative penalty and Hardy Oak HOA's compliance with all the terms and conditions set forth in this Agreed Order resolve only the allegations in Section II. The Commission shall not be constrained in any manner from requiring corrective action or penalties for violations, which are not raised here. Administrative penalty payments shall be made payable to "TCEQ" and shall be sent with the notation "Re: The Park at Hardy Oak Homeowners Association, Inc., Docket No. 2007-0042-EAQ-E" to:

Financial Administration Division, Revenues Section Attention: Cashier's Office, MC 214 · Texas Commission on Environmental Quality P.O. Box 13088

Austin, Texas 78711-3088

- 2. The provisions of this Agreed Order shall apply to and be binding upon Hardy Oak HOA. Hardy Oak HOA is ordered to give notice of the Agreed Order to personnel who maintain day-to-day control over the operations referenced in this Agreed Order.
- 3. This Agreed Order, issued by the Commission, shall not be admissible against Hardy Oak HOA in a civil proceeding, unless the proceeding is brought by the OAG to: (1) enforce the terms of this Agreed Order; or (2) pursue violations of a statute within the Commission's jurisdiction, or of a rule adopted or an order or permit issued by the Commission under such a statute.
- 4. This agreement may be executed in multiple counterparts, which together shall constitute a single original instrument. Any executed signature page to this Agreement may be transmitted by facsimile transmission to the other parties, which shall constitute an original signature for all purposes.
- 5. Under 30 Tex. Admin. Code § 70.10(b), the effective date is the date of hand-delivery of the Order to Hardy Oak HOA, or three days after the date on which the Commission mails notice of the Order to Hardy Oak HOA, whichever is earlier. The Chief Clerk shall provide a copy of this Agreed Order to each of the parties.

The Park at Hardy Oak Homeowners Association, Inc. DOCKET NO. 2007-0042-EAQ-E Page 4

SIGNATURE PAGE

TEXAS COMMISSION ON ENVIRONMENTAL QUALITY

For the Commission	
Dolu Sallier	711107
For the Executive Director	Date

I, the undersigned, have read and understand the attached Agreed Order. I am authorized to agree to the attached Agreed Order on behalf of the entity, if any, indicated below my signature, and I do agree to the terms and conditions specified therein. I further acknowledge that the TCEQ, in accepting payment for the penalty amount, is materially relying on such representation.

I also understand that my failure to comply with the Ordering Provisions, if any, in this order and/or my failure to timely pay the penalty amount, may result in:

- A negative impact on my compliance history;
- Greater scrutiny of any permit applications submitted by me;
- Referral of this case to the Attorney General's Office for contempt, injunctive relief, additional penalties, and/or attorney fees, or to a collection agency;
- Increased penalties in any future enforcement actions against me;
- Automatic referral to the Attorney General's Office of any future enforcement actions against me;
- TCEQ seeking other relief as authorized by law.

In addition, any falsification of any compliance documents may result in criminal prosecution.

Venuels Limett Signature Date

Date

Title

Name (Printed or typed)

Authorized Representative of

The Park at Hardy Oak Homeowners Association, Inc.

Instructions: Send the original, signed Agreed Order with penalty payment to the Financial Administration Division, Revenues Section at the address in Section IV, Paragraph 1 of this Agreed Order.

And the second of the second o

May de Comment